

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addeas: COMMISSIONER FOR PATENTS PO Box 1430 Alexandra, Virginia 22313-1450 www.webje.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/526,989	09/26/2005	Daniel Zauner	3926.135	6988	
41288 PATENT CEN	7590 02/27/2009 NTRALLLC		EXAM	INER	
Stephan A. Pendorf			JENNISON, BRIAN W		
1401 Hollywood Boulevard Hollywood, FL 33020		ART UNIT	PAPER NUMBER		
•			4184		
			MAIL DATE	DELIVERY MODE	
			02/27/2009	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.	Applicant(s)		
10/526,989	ZAUNER ET AL.		
Examiner	Art Unit		
BRIAN JENNISON	3742		

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS,

- WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed
- after SIX (6) MONTHS from the mailing date of this communication.

 If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication

closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.

- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
 Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any
- earned patent term adjustment. See 37 CFR 1.704(b).

Status	, ,	
1)🖂	Responsive to communication(s) f	īled on <u>07 <i>January</i> 2009</u> .
2a)⊠	This action is FINAL.	2b) ☐ This action is non-final.
3)□	Since this application is in condition	on for allowance except for formal matters, prosecution as to the merits is

Disposition of Claims

4)🛛	Claim(s) 1-7 is/are pending in the application.
	4a) Of the above claim(s) is/are withdrawn from consideration.
5)	Claim(s) is/are allowed.
6)⊠	Claim(s) 1-7 is/are rejected.
7)	Claim(s) is/are objected to.
8)□	Claim(s) are subject to restriction and/or election requirement.

Application Papers

9) ☐ The specification is objected to by the Examiner.
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing cheet(e) including the correction is required if the drawing(e) is objected to See 37

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

a)⊠ All	b) Some * c) None of:
1.	Certified copies of the priority documents have been received.
2.	Certified copies of the priority documents have been received in Application No
3.	Copies of the certified copies of the priority documents have been received in this National Stage

application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Atta	ch	me	nt	(s
------	----	----	----	----

1) Notice of References Cited (PTO-892)	4) Interview Summary (PTO-413)
Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date
3) T Information Disclosure Statement(s) (FTO/S5/08)	 Notice of Informal Patent Application
Paper No(s)/Mail Date	6) Other:

Paper No(s)/Mail Date ______. 6) L

Art Unit: 3742

Response to Amendments/Arguments

Applicant's arguments/amendments filed 1/7/2009 have been fully considered but they are not persuasive as for the following reasons:

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-7 as amended are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant repards as the invention.

In claim 1, the recitation of "at least one sheet" at line 2 is unclear. Is it "at least one sheet" is one of the "coated sheets" recited at line 1 in the preamble (also note in claim 7)? If it is, then "at least one sheet" should be read as "at least one of said coated sheets". There is insufficient antecedent basis for "the surface" recited at lines 2-3 in the claim. Is "surface" the same as "on at least one side" recited at lines 1-2? It is understood that each sheet should have two sides or surfaces. Clarification is needed. Furthermore, the recitation of "the laser beam facing side of the sheet" at line 6 also renders the claim indefinite because it is unclear whether such "facing side" is the same as "surface" or "at least one side" recited earlier in the claim (also note in claim 5, "the side facing" recited at line 3 and claim 6, "the side facing away". The phrase "at least one topographical change on the laser beam facing side of the sheet, or on that side of the at least one sheet which faces away from said beam" recited at lines 5-7 is so vague and can not be clearly understood since this alternative recitations is improper and

Formatted: Font: Not Bold

Formatted: No bullets or numbering, No widow/orphan control

Formatted: Font: Bold

Formatted: East: Not Bold

Pormatted: Font: Not Bo

Deleted: Response to Amendments/Arguments

Deleted: ¶

Applicant's arguments filed
1/7/2009 have been fully considered but they are not persuasive. See
Examiner's remarks below.
####

Formatted: Font: Not Bold, Not Italic

Art Unit: 3742

make the scope of the claim uncertain. It appears that "laser beam facing side" was the same as "that side", however, "that side of the at least one sheet which faces away from said beam" appeared not the same as the "laser beam facing side" (also note in claims 5 and 6). There is also insufficient antecedent basis for "the region" recited at line 7 in the claim (also note in claim 7). Such region must be clearly defined. It is noted that the claim was intended to be a method, however, the claim does not positively set forth any steps involved in the method/process, it is unclear what method/process applicant is intending to encompass.

Claim 2 is unclear for intending to further limit claim 1. As noted in claim 1, the laser beam has been understood as being focused upon the surface (i.e., directing a laser beam onto the surface", and claim 2 is now reciting "the laser beam is not focused upon the surface". Thus, the metes and bounds of claim 2 is uncertain.

In claim 3, there are insufficient antecedent bases for "the at least one coated sheet" recited at line 2, "the formation" recited at line 3, "the at least two sheets" recited at lines 3-4, "the region" recited at line 4, and "the process" recited at line 5 in the claim or from the preceding claim 1. Are "formation" and "region" the same? The recitation of "formation, region and process must be clearly defined.

In claim 4, since there is no configuration detailed for the topographical change provided, the recitation of "the resultant weld seam" at line 2 is indefinite and lacks antecedent basis in the claim or from the preceding claim.

Page 4

Art Unit: 3742

Application/Control Number: 10/526,989

which may not have any patentable sense.

In claim 5, "the side facing the laser" recited at line 3 lacks antecedent basis from the preceding claim 1 since as noted in claim 1, there is the "Jaser beam facing side" but not the "laser facing side".

Formatted: Font: Not Bold

Formatted: Font: Not Bold

In claim 6, , "the side facing away from the laser" recited at line 3 lacks antecedent basis from the preceding claim 1 since as noted in claim 1, there is the "..side.....which faces away from said beam facing side" but not the "laser".

Claim 7 is indefinite as for the similar reason set forth in claim 1 above. Additionally, it is unclear whether "that side" recited at line 7 and "at least one side" Formatted: Font: Not Bold Formatted: Font: Not Bold

recited at lines 1-2 are the same. The phrase "wherein said melting through is controlled Formatted: Font: Not Bold

by pre-specifying the processing time or by providing a penetration sensor which regulates the laser machining time" recited at lines 9-10 is so vague and can not be clearly understood since such alternative recitation is improper and make the scope of the claim uncertain. It appears that "processing time" was not the same as "penetration sensor". There is also insufficient antecedent basis for "the processing time" in the claim. Similarly, it is noted that the claim was intended to be a method, however, the claim does not positively set forth any steps involved in the method/process, it is unclear what method/process applicant is intending to encompass. Furthermore, the use of transitional phrase such as "wherein....." is considered merely functional languages

Art Unit: 3742

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness relections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior at rar exuch that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

 Claims 1 and 3-6 as amended are rejected under 35 U.S.C. 103(a) as being unpatentable over FUJIMOTO et al (JP 2002-178178 A cited by applicant) as evidence by Dunsky et al (US 2001/0045419) in view of ISO et al (JP 2000-301374 A cited by applicant).

Fujimoto et al teaches (re claim 1 and 6) a laser lap welding method in which a protrusion 2a is formed, by melting, on the side sheet 2 facing away from laser 1. (See Drawing 1 and Paragraphs [0018]—[0020]) (re claim 3) the protrusion on the side facing away from the laser is welded to a second sheet so that the zinc vapor can escape through the gap formed by the protrusion. (See Drawing 2 and Paragraphs [0021]-[0024]) (re claim 4) the sheets 2 and 3 are fused together by welding which is performed by a second laser so the weld line is the same line as protrusion 2a. (See Paragraph 10026)).

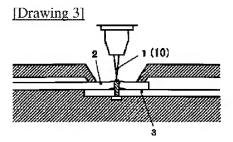
Regarding Claims 1: The laser describes about the center of its machining area in a narrowing spiral. (This is merely an inherent characteristic shown by Dunsky et al (US 2001/0045419) See Figs. 21, 22, 32. which all show a narrowing spiral weld pattern.)

Formatted: Indent: First line: 36 pt Formatted: Bullets and Numbering

Deleted: +

Art Unit: 3742

Regarding Claim 5, Fujimoto et al teaches a protrusion on the side facing the laser and the side facing away from the laser as shown in drawing 3.



Fujimoto et al fails to show the use of a scanner for directing the laser beam.

It is well known in the art to use a scanner for directing laser beam, as evidence, lso et al teaches (re claim 1) a scanner for directing the laser beam wherein "a laser beam is introduced into the 1st galvanoscanner 14, and while branching introduces the laser beam of another side into the 2nd galvanoscanner 16 by the mirror 15. The structure of galvanoscanner is provided with the 2nd galvanomirror for making the laser beam from the 1st galvanomirror and this 1st galvanomirror for making a laser beam shake at an X axial direction shake at Y shaft orientations. Thus, the laser beam which

Art Unit: 3742

came out of the 1st and 2nd galvanoscanner is irradiated on the work 20 through the fitheta lenses 17 and 18, respectively." (See Paragraph [0013])

It would have been obvious to one having ordinary skill in the art at the time the invention was made to utilize in FUJIMOTO et al, the scanner taught by Iso et al in order to direct the laser beam with a greater working speed (see paragraph 5, 9 and 31 of Iso et al) as well as good precision.

- Claim 7 as amended is rejected under 35 U.S.C. 103(a) as being unpatentable over Fujimoto as evidence by Dunsky et al (US 2001/0045419) in view of Leong et al (US Patent No. 6,329,635).
- 4- Fujimoto et al as evidence by Dunsky et al discloses substantially all features of the claimed invention as set forth above except for the-melting through is controlled by pre-specifying a processing time or by providing a penetration sensor which regulates the laser machining time.

Leong et al teaches a method for weld and laser heat treatment monitoring which involves determining depth penetration wherein the machining time can be controlled in term of a calibration curve. Where in order to-determine weld penetration from the weld monitor signal, a calibration curve is required. This curve can be constructed for a particular component from test welds made by varying the laser power level at constant speed. Defects are often caused by changes in beam power and part geometry rather than speed. After sectioning and polishing, the weld penetration can be measured and correlated with the DC signal from the weld monitor. Using the design specifications for

Art Unit: 3742

the component of interest, upper and lower control limits could be determined for process monitoring as set forth at column 9, lines 33-43).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to utilize in Fujimoto et al as evidence by Dunsky et al, the calibration curve to pre-specify the processing time as taught by Leong et al in order to control the melting through the object or sheet depend upon the laser power level, speed, geometry, or design specifications if so desired.

REMARK

In response to applicant's argument, page 7, paragraphs 8-9 page 8, paragraphs 1-2., page 9, paragraphs 1-3 and page 8, paragraph 6., that there is no suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPO2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPO2d 1941 (Fed. Cir. 1992). In this case, the motivation for combining the references is given. Iso teaches the scanner for guiding the laser and directing the laser beam at a greater working speed. All arguments are directed toward the claims as being unobvious in light of the claims being amended to include the narrowing spiral. One of ordinary skill in the art would recognize the reason

Formatted: Font: Not Bold, No underline

Formatted: Font: Not Bold, No underline

Formatted: Font: Not Bold, No

Deleted: Regarding Claims 7: Fuilmote et al teaches a protrusion on the side facing the laser and the side facing away from the laser as shown in drawing 3: ¶

The laser describes about the center of its mechanic area in a narrowing spiral. (This is merely a design choice as shown by Dunsky et al (US 2001/0045419) See Figs. 21, 22, 32, which all show a narrowing spiral wold-pattern.)*

Formatted: Centered, Keep with

next Deleted: ¶

Deleted: ¶

«**Applicantia-arguments-filed

1/7/2006 have been fully considered

1/7/2006 have been fully fully fully

1/7/2006 have been fully

See claim rejections below: ¶
Claims 5 and 6 are new given
patentable weight in response to
applicants argument on page 7,
paragraph 4. ¶
¶

Regarding Claim 8: The applicant's argument for removal of the 110.14 and 2" prographs is considered moet in light of the cancellation of this claim. I

4. 7. Therefore it is deemed that the applicant concedes to the examiners rejection of the claims.
¶

"#>Applicant's arguments on page 8, paragraph 1 and page 9, paragraphs 1 3, with respect to dairine 1.2, 6.7 have been considered but are most in view of the new ground(s) of rejection as set forth See resections above. ¶

Deleted:

Formatted: Indent: Left: 0 pt, First line: 36 pt

Deleted: regarding claim 2,

Art Unit: 3742

for combination of these devices to produce a weld of a desired pattern due to the motivation previously stated.

5. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within

TWO MONTHS of the mailing date of this final action and the advisory action is not

mailed until after the end of the THREE-MONTH shortened statutory period, then the
shortened statutory period will expire on the date the advisory action is mailed, and any
extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of
the advisory action. In no event, however, will the statutory period for reply expire later
than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to BRIAN JENNISON whose telephone number is (571)270-5930. The examiner can normally be reached on M-Th 7:00AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tu Hoang can be reached on 571-272-4780. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Deleted: ¶

Formatted: Indent: Left: 0 nt

Formatted: Outline numbered + Level: 1 + Numbering Style: 1, 2, 3, ... + Start at: 5 + Alignment: Left + Aligned at: 0 pt + Tab after: 36 pt + Indent at: 0 pt

Formatted: Indent: Left: 0 pt, First line: 36 pt

Deleted: Regarding Claim 2: The case of the narrowing spiral to rejected above and applicant's arguments are considered most. Regarding Claims 1-7. The case of the narrowing spiral is rejected above and applicant's arguments are considered most. ¶

Comment [11]: Make Final necessitated by amendments?If so, use the correct form paragraph. Also need to response to all arguments, following applicant's outline.

Deleted: Conclusio <#>THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set for 37 CFR 1.136(a). ¶ A shortened statutory period for re to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a fi reply is filed within TWO MONTHS of mailing date of this final actio ntil after the end of the THREE-MONTH shortened statutory period then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1-136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final

action: ¶

Application/Control Number: 10/526,989 Page 10

Art Unit: 3742

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/BRIAN JENNISON/ Examiner, Art Unit 3742

2/13/2009

/TU B HOANG/

Supervisory Patent Examiner, Art Unit 3742

Formatted: Font: Not Bold